

F E D E R A L R E S E R V E  F I N A N C I A L S E R V I C E S

# FedACH<sup>®</sup> Participation Agreement

## Part 6B: FedACH Risk<sup>®</sup> Origination Monitoring Service

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### \*Required Fields

### Section 1: Service Description and Form Instructions

The FedACH Risk Origination Monitoring Service (Service) enables sending banks to set dollar limits on certain batches of forward ACH items sent to FedACH. Fees for this Service are in addition to existing FedACH fees and published in the Financial Services Fee Schedule.

This Service is administered by the sending bank using FedACH Information Services on FedLine Web<sup>®</sup>. Specific credential access is needed for FedACH Information Services to enable this Service.

Sending banks participating in the Service must designate one or more individuals who are officers or employees of the sending bank and who are FedACH Information Service subscriber(s) with role-specific authority to set/edit criteria and/or release or reject pended batches. A participating sending bank should maintain contingency plans that provide for access to FedACH Information Services in the event of a sending bank outage or subscriber absence. FedACH staff will not be able to provide information about batches pended by this Service and will not release or reject batches pended by this Service. Any batches pended by the Service but not acted upon by the sending bank prior to FedACH end-of-day will be released or rejected based on the end of day default chosen by the sending bank.

This Service provides notification using e-mail. E-mail services at the Reserve Banks or at the sending bank may experience delays or interruptions in service. Information concerning batches pending and/or rejecting as the result of the Service will be available to Service subscribers via FedACH Information Services.

When you submit this form, the submitted form supersedes any previous versions of the same form.

For detailed instructions on completing this agreement, please refer to the [Participation Agreement Instructions](#) located at FRBServices.org.

**For additional assistance completing and/or submitting this form, please contact Federal Reserve Bank Sales Support at 800-257-6701.**

Send completed forms to Customer Contact Center at:  
[ccc.bankservices@kc.frb.org](mailto:ccc.bankservices@kc.frb.org).

**Section 2: Customer Information**

<b>Participating Institution Name*</b>			
<b>Identification Number (RTN/ETI)*</b>			
<b>Contact Name*</b>	<i>First</i>	<i>MI</i>	<i>Last</i>
<b>Contact Phone Number*</b>	<i>Country Code</i>	<i>Phone</i>	<i>Extension</i>
<b>Contact Email Address*</b>			

**Section 3: Service Specific Information / Customer Specific Requests**

<b>Requested Effective Date* (ACH Process Date)</b> <i>(Must be received by the Reserve Bank at least ten business days prior to the requested effective date. Actual effective date may vary from requested date.)</i>	
<b>Service Request*</b> <i>Select ONE option.</i>	<input type="checkbox"/> <b>Add</b> <input type="checkbox"/> <b>Delete</b>

**Section 4: Authorized Approval**

By requesting this Service the sending bank agrees to the terms of Operating Circular 4 Appendix E and the Participation Agreement, both as amended from time to time.

<b>Authorized Signer Name*</b>	<i>First</i>	<i>MI</i>	<i>Last</i>
<b>Authorized Signature*</b> <i>(Authorized ACH signer on Official Authorization List)</i>			

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