#### NSS 01/ NSS 02

January 2012

#### NATIONAL SETTLEMENT SERVICE

#### NEW ARRANGEMENT INFORMATION

#### Settlement Agent - Please complete this form and email it to CSSS.STAFF@NY.FRB.ORG

## Or fax to (201) 531-3660

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| Arrangement Name:      Please enter the Customer Information Number (CIN #) assigned by the Federal Reserve BankCustomer Information Number (CIN #):      Settlement Agent Name:      Settlement Agent ABA:      Submitted By:      Date:       |

 Settlement Agents – after completing the information above please proceed to page 2.

 **To be completed by Federal Reserve Bank of New York**

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| CSS StaffUpdated By:       Date:       | CSS StaffVerified By:      Date:       |

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| Accounting Department      Assign a CIN# & make a non-acct holder in IRD & GCD     Make billing eligible in GCD      Make an institution type 14 in GCD                 Return to CSS Completed By DateEnvironment Production :       DIT:       |
| Accounting Department (after the arrangement’s effective date has been determined)     Add designated DI to receive fees in billing      Update Agent address in IRD & GCD      Pend as an account holder                 Forward to CSS Completed By Date |
| CRM Staff     Establish an ABMS profile & default summary for Funds      Establish a DORPS profile                 Forward to CSS and Accounting Completed By DateEnvironment Production :       DIT:       |
| CSS Staff     Forward to Accounting for their “New Account                 Established” broadcast Completed By Date |

**Settlement Agents – Please Continue Here**

Arrangement Name:

 Settlement Agent Name**:**

**Arrangement Options**

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| Please let us know how many files you expect to submit each day for this arrangement and when you anticipate delivery time. This is just to help us with our management. Settlement Agents are not bound to this information.If you do not know the number of files or delivery time, you can leave this blank.Number of files anticipated:      Anticipated submission time of the first file:      Anticipated submission of the last file:       | Please deliver the Federal Reserve Statement of Account for this arrangement to the following address:      |
| Please deliver the Federal Reserve Statement of Services Charges to the following Address:           | Statement Delivery OptionPlease deliver our Statement of Account by: e-mail:      fax:      E-mail Address:       OrFax Number:      Contact Name:      Contact Phone Number:       |
| Service Charges should be assessed to the depository institution listed below, which is a settler in this arrangement.ABA:       NAME:       |   |

**Settlement Agents -After filling out the information above please go to page 3.**

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| **CSS Staff**Updated By:      Date:       | **CSS Staff**Verified By:      Date:       |

Settlement Agents please continue here.

Arrangement Name:

Settlement Agent Name:

Participant Information

Participant Name Participant ABA # Settler Name Settler ABA #

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Settlement Agents – please proceed to the following pages as needed.

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**Settlement Agents please continue here.**

Arrangement Name:

Settlement Agent Name**:**

**Participant Information**

Participant Name Participant ABA # Settler Name Settler ABA #

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Arrangement Name:

Settlement Agent Name**:**

Participant Information

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Participant Information

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