#### NSS 01/ NSS 02

January 2012

#### NATIONAL SETTLEMENT SERVICE

#### NEW ARRANGEMENT INFORMATION

#### Settlement Agent - Please complete this form and email it to [CSSS.STAFF@NY.FRB.ORG](mailto:CSSS.STAFF@NY.FRB.ORG)

## Or fax to (201) 531-3660

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| Arrangement Name:  Please enter the Customer Information Number (CIN #) assigned by the Federal Reserve Bank  Customer Information Number (CIN #):  Settlement Agent Name:      Settlement Agent ABA:  Submitted By:      Date: |

Settlement Agents – after completing the information above please proceed to page 2.

**To be completed by Federal Reserve Bank of New York**

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| CSS Staff  Updated By:  Date: | CSS Staff  Verified By:  Date: |

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| Accounting Department       Assign a CIN# & make a non-acct holder in IRD & GCD       Make billing eligible in GCD       Make an institution type 14 in GCD       Return to CSS Completed By Date  Environment Production :       DIT: |
| Accounting Department (after the arrangement’s effective date has been determined)       Add designated DI to receive fees in billing       Update Agent address in IRD & GCD       Pend as an account holder       Forward to CSS Completed By Date |
| CRM Staff       Establish an ABMS profile & default summary for Funds       Establish a DORPS profile             Forward to CSS and Accounting Completed By Date  Environment Production :       DIT: |
| CSS Staff       Forward to Accounting for their “New Account             Established” broadcast Completed By Date |

**Settlement Agents – Please Continue Here**

Arrangement Name:

Settlement Agent Name**:**

**Arrangement Options**

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| Please let us know how many files you expect to submit each day for this arrangement and when you anticipate delivery time. This is just to help us with our management. Settlement Agents are not bound to this information.  If you do not know the number of files or delivery time, you can leave this blank.  Number of files anticipated:  Anticipated submission time of the first file:  Anticipated submission of the last file: | Please deliver the Federal Reserve Statement of Account for this arrangement to the following address: |
| Please deliver the Federal Reserve Statement of Services Charges to the following Address: | Statement Delivery Option  Please deliver our Statement of Account by:  e-mail:  fax:  E-mail Address:  Or  Fax Number:  Contact Name:  Contact Phone Number: |
| Service Charges should be assessed to the depository institution listed below, which is a settler in this arrangement.  ABA:    NAME: |  |

**Settlement Agents -After filling out the information above please go to page 3.**

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| **CSS Staff**  Updated By:  Date: | **CSS Staff**  Verified By:  Date: |

Settlement Agents please continue here.

Arrangement Name:

Settlement Agent Name:

Participant Information

Participant Name Participant ABA # Settler Name Settler ABA #

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**Settlement Agents please continue here.**

Arrangement Name:

Settlement Agent Name**:**

**Participant Information**

Participant Name Participant ABA # Settler Name Settler ABA #

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**Settlement Agents please continue here.**

Arrangement Name:

Settlement Agent Name**:**

Participant Information

Participant Name Participant ABA # Settler Name Settler ABA #

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Arrangement Name:

Settlement Agent Name**:**

Participant Information

Participant Name Participant ABA # Settler Name Settler ABA #

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Participant Information

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**Settlement Agents please continue here.**

Arrangement Name:

Settlement Agent Name**:**

Participant Information

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